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PATENT
Docket No. 10386US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BROWN et al.

Serial No.: 10/681,851

Filed: October 8, 2003

For: TAPE REEL ASSEMBLY WITH STIFF
WINDING SURFACE FOR A TAPE
DRIVE SYSTEM

Examiner: Unknown

Group Art Unit: 3654

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Dear Sir:

I certify that the following pages are being telefacsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

1. Transmittal of Information Disclosure Statement, including Certificate of Facsimile (2 pages)
2. Information Disclosure Form 1449 (1 page)

Respectfully submitted,

3/8/4
Date
Eric D. Levinson
Reg. No. 35,814Imation Legal Affairs
P.O. Box 64898
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Telephone: (651) 704-3604
Facsimile: (651) 704-5951**4 PAGES - INCLUDING COVER PAGE**

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Docket No.

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))In Re Application Of: **Jerome D. Brown et al.**Serial No.
10/681,851Filing Date
10/08/2003

Examiner

Group Art Unit
3654Title: **TAPE REEL ASSEMBLY WITH STIFF WINDING SURFACE FOR A TAPE DRIVE SYSTEM**

Address to:

Commissioner for Patents
P.O. Box 1460
Alexandria, VA 22313-1460**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

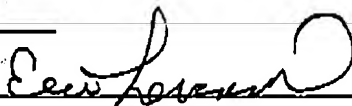
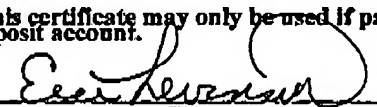
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 10386US01
In Re Application: Jerome D. Brown et al.			
Serial No. 10/681,851	Filing Date 10/08/2003	Examiner	Group Art Unit 3654
TAPE REEL ASSEMBLY WITH STIFF WINDING SURFACE FOR A TAPE DRIVE SYSTEM			
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
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<p>Eric D. Levinson, Reg. No. 35,814 Imation Corp. Legal Affairs P.O. Box 64898 St. Paul, Minnesota 55164-0898 Telephone: 651/704-3604 Facsimile: 651/704-5951</p>			
cc:			

INFORMATION DISCLOSURE CITATION	Atty. Docket No.: 10386US01	Serial No.: 10/681,851
	Applicant(s): Jerome D. Brown et al.	
	Filing Date: 10/08/2003	Group: 3654

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date if Appropriate
	4,102,514	07/1978	Ito			
	5,564,647	10/1996	Bay et al.			
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FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub-Class	Translation	
						Yes	No

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

EXAMINER	Date Considered
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820
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